



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Douglas B. Moran

Application No. 09/654,347

Filed: August 30, 2000

For: SYSTEM AND METHOD FOR USING TIMESTAMPS TO DETECT ATTACKS

Examiner: Unassigned

Art Unit: 1714 RECEIVED

May 7, 2001

SEP 1 4 2001

TC 1700

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on May 7-2001.

Signed:

Jennifer & Gross

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

RECEIVED

OCT 0 3 2001

Technology Center 2100

Sir:

Enclosed is a copy of the Corrected Filing Receipt mailed January 15, 2001, and the Response to Request Corrected Filing Receipt for the above-identified patent application. Also included in this Request is a copy of the Cross Reference to Related Applications as filed on August 30, 2000. Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

Change the Continuing Data as Claimed by Applicant "THIS APPLN CLAIMS BENEFIT OF 60/151,531 08/30/1999" to -- "THIS APPLN CLAIMS BENEFIT OF 60/151,531 08/30/1999 **AND** 09/615,967 07/14/2000" --.

Attorney Docket No. RECOP017

Since this is a Patent Office typographical error, no fee should be due. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account 50-0685 (Order No. RECOP017).

The above-referenced patent application was filed on August 30, 2000. However, the Application Processing Division has accorded a filing date of September 1, 2000. Accordingly, the Applicant hereby petitions the Commissioner to correct the filing date for the above-referenced application.

The above-identified application was deposited with the U.S. Postal Service on August 30, 2000 and included an Express Mail Certificate signed by the person who deposited the application. A copy of the transmittal including the Express Mail Certificate is included herewith. The Express Mail Certificate includes an identification of the Express Mail Mailing Label Number, and was signed by the person who mailed the application as required by Rule 10(b). A copy of the Express Mail Label is included herewith.

It is respectfully submitted that the foregoing clearly establishes that the above-referenced application was indeed filed by Express Mail on on August 30, 2000. Accordingly, the Commissioner is hereby requested to accord on August 30, 2000 as the filing date for the above-referenced application.

If any fees are due in connection with the filing of this Petition, including any Petition fees, the Commissioner is hereby authorized to charge such fees to our Deposit Account 50-0685 (Order No. RECOP017). However, in view of the fact that the Rule in effect at the time of the filing of the above-referenced application did not require the filing of a Petition in order to correct the filing date, it is respectfully submitted that the filing date should have been corrected without the requirement of a Petition and accordingly no fees should be due for filing this petition.

If the Commissioner has any questions concerning this matter, please contact the undersigned at the number set forth below.

Respectfully submitted,

Susan C. Yi

Reg. No. 39,883

VAN PELT & YI LLP 4906 El Camino Real Suite 205 Los Altos, CA 94022 (650) 903-3500 RECEIVED SEP 1 4 2001 TC 1700



TATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|-------------|-----------------------|------------------------|
| 00//54 247         | 00/01/2000  | Douglas P. Moran      | RECOP017               |

09/654,347

09/01/2000

Douglas B. Moran

21912 RITTER VAN PELT & YI, L.L.P. 4906 EL CAMINO REAL **SUITE 205** LOS ALTOS, CA 94022

SEP 1 4 2001

TC 1700

Date Mailed: 01/15/2001

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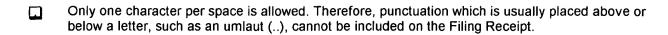
RESPONSE TO REQUEST CORRECTED FILING RECEIPT

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Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

|   | The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.                                                   |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|   | The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.                                                                                                                                                |
|   | The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined). |
|   | The docket number allows a maximum of 25 characters.                                                                                                                                                                                                                                                           |
| Ø | Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional).                                                                                                                                                                  |
|   | Foreign priority will appear on the Filing Receipt in the following order: Country, Application number, Filing date.                                                                                                                                                                                           |
|   | The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.                                                                                                                                                                                    |
|   | The enclosed Filing Receipt was returned to the Office as undeliverable. We are sending a second filing receipt to the address given.                                                                                                                                                                          |



Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY



JAN 18 2001

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APPLICATION NUMBER 09/654,347

FILING DATE 09/01/2000 GRP ART UNIT

1714

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IND CLAIMS

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21912 RITTER VAN PELT & YI, L.L.P. 4906 EL CAMINO REAL **SUITE 205** LOS ALTOS, CA 94022

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**CORRECTED FILING RECEIPT** 

SEP 1 4 2001

TC 1700

Date Mailed: 01/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER. FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Douglas B. Moran, Palo Alto, CA;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/151.531 08/30/1999 AND 09/615,967 07/14/2000

Foreign Applications

If Required, Foreign Filing License Granted 10/21/2000

**Title** 

System and method for using timestamps to detect attacks

**Preliminary Class** 

524

Data entry by : SHEFFEY, CATHERINE

Team: OIPE

Date: 01/15/2001

Technology Center 2100



OCT 0 3 2001 Technology Center 2100

# **SYSTEM AND METHOD FOR USING TIMESTAMPS TO DETECT ATTACKS**

### **CROSS REFERENCE TO RELATED APPLICATIONS**

This application claims priority to U.S. Provisional Patent Application No. 5 60/151,531 entitled "SYSTEM AND METHOD FOR PROVIDING COMPUTER SECURITY" filed August 30, 1999, which is incorporated herein by reference for all purposes, and to U.S. Patent Application No. 09/615,697 entitled "SYSTEM AND METHOD FOR COMPUTER SECURITY" filed July 14, 2000, which is incorporated herein by reference for all purposes.

| 10 | This application is related to co-pending U.S. Patent Application No.                     |
|----|-------------------------------------------------------------------------------------------|
|    | (Attorney Docket No. RECOP011) entitled SYSTEM AND METHOD                                 |
|    | FOR DETECTING COMPUTER INTRUSIONS filed concurrently herewith, which is                   |
|    | incorporated herein by reference for all purposes; and co-pending U.S. Patent Application |
|    | No (Attorney Docket No. RECOP012) entitled EXTENSIBLE                                     |
| 15 | INTRUSION DETECTION SYSTEM filed concurrently herewith, which is incorporated             |
|    | herein by reference for all purposes; and co-pending U.S. Patent Application No.          |
|    | (Attorney Docket No. RECOP013) entitled SYSTEM AND METHOD                                 |
|    | FOR USING LOGIN CORRELATIONS TO DETECT INTRUSIONS filed concurrently                      |
|    | herewith, which is incorporated herein by reference for all purposes; and co-pending U.S. |
| 20 | Patent Application No(Attorney Docket No. RECOP014) entitled                              |
|    | SYSTEM AND METHOD FOR USING SIGNATURES TO DETECT COMPUTER                                 |

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| POST OFFICE<br>TO ADDRESSEE                                                                                                                                                | EXPRES MAIL UNITED STATES POSTAL SERVICE                                                             | * E L 6 8 4 8 6 5 5 2 1 U 2 *                                                                                                                                                                                                                                                                                 |                                    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|
| ORIGIN (POSTAL USE ONLY) PO ZIP Code  Day of Delivery  Next Second  Date In!  Mo. Day PM 1 Alipha Country Code  Time In                                                    | Flat Rate Envelope  Postage  \$  Return Receipt Fee  COD Fee Insurance Fee  Total Postage & Fees  \$ | SEE REVERSE SIDE FOR SERVICE GUARANTEE AND LIMITS ON INSURANCE COVERAGE  AUG 30 2000  F SIGNATURE (Domestic Only): Additional merchandise insurance is valid if wanter of shipfaffure is requested. By to be made without obtaining signature of addressee or addressee's agent (if delivery-employee indiges | Customer Copy Label 11-F July 1997 |
| Express Mail Corporate Acct, No.  Federal Agency Acct, No. or Postal Service Acct, No.  FROM: (PLEASE PRINT) PHONE   1  RITTER VAN PELT & Y  4906 EL CAMINO REAL LOS ALTOS | I LLP<br>STE 205<br>CA 94022-1444                                                                    | TO: (PLEASE PRINT)  PHONE  ASSISTANT COMMISSIONER FOR PATENTS PO BOX HASHINGTON  DC 20231-000                                                                                                                                                                                                                 |                                    |







## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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Bib Data Sheet

**CONFIRMATION NO. 5971** 

| SERIAL NUMBER<br>09/654,347                                                                                                                                                                                        | FILING DATE<br>09/01/2000<br>RULE | <b>CLA</b><br>52 |    | 1                                                                                                   |                     | JP ART UNIT<br>1714 |                            | ATTORNEY<br>DOCKET NO.<br>RECOP017 |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|------------------|----|-----------------------------------------------------------------------------------------------------|---------------------|---------------------|----------------------------|------------------------------------|--|
| APPLICANTS Douglas B. Moran, Palo Alto, CA;  *** CONTINUING DATA ******************************  THIS APPLN CLAIMS BENEFIT OF 60/151,531 08/30/1999  *** FOREIGN APPLICATIONS ************************************ |                                   |                  |    | RECEIVED  SEP 1 4 2001  TC 1700                                                                     |                     |                     |                            |                                    |  |
| Foreign Priority claimed  35 USC 119 (a-d) conditions                                                                                                                                                              |                                   |                  |    |                                                                                                     | TOTA<br>CLAII<br>17 | MS                  | INDEPENDENT<br>CLAIMS<br>4 |                                    |  |
| TITLE System and method for using timestamps to detect attacks                                                                                                                                                     |                                   |                  |    |                                                                                                     |                     |                     |                            |                                    |  |
| FILING FEE RECEIVED No to charge/credit DEPOSIT ACCOUNT 920 No for following:                                                                                                                                      |                                   |                  | NT | All Fees  1.16 Fees (Filing)  1.17 Fees (Processing Ext. of time)  1.18 Fees (Issue)  Other  Credit |                     |                     |                            |                                    |  |